日KEX香港交易所

Monthly Return of Equity Issuer on Movements in Securities

For the month ended (dd/mm/yyyy) :

30/04/2021

To : Hong Kong Exchanges and Clearing Limited

CHINA SUNTIEN GREEN ENERGY CORPORATION LIMITED

(a joint stock limited company incorporated in the People's Republic of China with limited liability)

Name of Issuer Date Submitted

06/05/2021

I. Movements in Authorised Share Capital

1. Ordinary Shares

| (1) Stock code : <u>00956</u> Descrip | otion : | H Sh | ares |
|--|---------------------------|---|--|
| | No. of ordinary shares | Par value (State currency) | Authorised share capital (State currency) |
| Balance at close of preceding month | 1,839,004,396 | RMB1.00 | RMB1,839,004,396 |
| Increase/(decrease) | 0 | | 0 |
| Balance at close of the month | 1,839,004,396 | RMB1.00 | RMB1,839,004,396 |
| 600956 (Shanghai Stock (2) Stock code : Exchange) Descrip | otion : | A Sh | ares |
| (_) <u></u> | | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | |
| | No. of ordinary shares | Par value (State currency) | Authorised share capital <i>(State currency)</i> |
| Balance at close of preceding month | 2,010,906,000 | RMB1.00 | RMB2,010,906,000 |
| Increase/(decrease) | 0 | | 0 |
| | | | |

2. Preference Shares

| Stock code : <u>N/A</u> Desc | cription : | | |
|-----------------------------------|--------------------------------|----------------------------------|--|
| | No. of preference shares | Par value (State currency) | Authorised share capital <i>(State currency)</i> |
| Balance at close of preceding mor | ith | | |
| Increase/(decrease) | | - | |
| Balance at close of the month | | | |

3. Other Classes of Shares

| Stock code : <u>N/A</u> Descrip | otion : | | |
|-------------------------------------|--------------------------------------|----------------------------------|--|
| | No. of other classes of shares | Par value (State currency) | Authorised share capital <i>(State currency)</i> |
| Balance at close of preceding month | | | |
| Increase/(decrease) | | - | |
| Balance at close of the month | | | |

Total authorised share capital at the end of the month (*State* RMB3,849,910,396 (Remark 1)

| | No. of or | dinary shares | No of preference shares | No. of other classes of shares |
|--|---------------|---------------|----------------------------|--------------------------------------|
| | (1) | (2) | | |
| Balance at close of preceding month | 1,839,004,396 | 2,010,906,000 | N/A | N/A |
| Increase/ (decrease) during the month | 0 | 0 | N/A | N/A |
| Balance at close of the month | 1,839,004,396 | 2,010,906,000 | N/A | N/A |

III. Details of Movements in Issued Share Capital

| Share Options (und | er Share Opt | ion Schemes o | of the Issuer) | | | |
|--|---------------|----------------|----------------|----------------|-------------------------------|----------------------------------|
| Particulars of | | | | | | No. of new shares of |
| share option | | | | | | issuer which may be |
| scheme | | | | | the month pursuant thereto | |
| including EGM approval date [—] | Ν | Novement duri | ng the month | | - Inereto | thereto as at close of the month |
| (dd/mm/yyyy) and | | | | | | |
| class of shares | | | | | | |
| issuable | Granted | Exercised | Cancelled | Lapsed | | |
| 1. N/A | - | | - | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| shares | | | | | | |
| (Note 1) | | | | | | |
| | | | | | | |
| 2. N/A | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| shares | | | | | | |
| (Note 1) | | | | | | |
| | | | | | | |
| 3. N/A | | | | | | |
| 0.10// | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| shares | | | | | | |
| (Note 1) | | | | | | |
| | | Tota | IA. (Ordina | ry shares) | N/A | |
| | | Tota | (Preferend | | N/A | |
| | | | | her class) | N/A | |
| Total funda raised d | uring the men | ath from over- | • | nei 01035) | I\//\ | |
| Total funds raised d of options (State cu | | nui nom exerci | 56 | N/A | | |
| or options (otate cu | noncy/ | | | IN/ <i>F</i> 1 | | |

| Warrants to Issue Shares of the | Issuer which | are to b | e Listed | | | | |
|---|---------------------------------|----------------------------------|---------------|----------------------------------|---|---|---|
| Description of warrants (Date of expiry - dd/mm/yyyy) | Currency of nominal value | Nomina at clo prece moi | se of ding | Exercised during the month | Nominal value at close of the month | No. of new shares of issuer issued during the month pursuant thereto | No. of new shares of issuer which may be issued pursuant thereto as at close of the month |
| 1. N/A | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| (/ /) Stock code (if listed) Class of shares issuable (Note 1) Subscription price | | | | | | | |
| EGM approval date | | | | | | | |
| (if applicable) (dd/mm/yyyy) | (/ / |) | | | | | |
| <u>2. N/A</u> | | | | | | | |
| | | | | | | | |
| | | . <u> </u> | | | <u> </u> | | |
| Stock code (if listed) | | | | | | | |
| Class of shares | | | | | | | |
| Subscription price | | | | | | | |
| EGM approval date | | | | | | | |
| (if applicable) (dd/mm/yyyy) | (/ / |) | | | | | |
| 3. N/A | , | , | | | | | |
| | | | | | | | |
| | | | | | | | |
| (/ /) Stock code (if listed) | | | | | | | |
| Class of shares issuable (Note 1) | | | | | | | |
| Subscription price | | | | | | | |
| EGM approval date | | | | | | | |
| (if applicable) (dd/mm/yyyy) | (/ / |) | | | | | |
| 4. N/A | (/ / |) | | | | | |
| | | | | | | | |
| | | | | | | | |
| (/ /) | | | | | | | |
| Stock code (if listed) | | | | | | | |
| Class of shares | | | | | | | |
| issuable <i>(Note 1)</i> Subscription price | | | | | | | |
| EGM approval date | | | | | | | |
| (if applicable) | | | | | | | |
| (dd/mm/yyyy) | (/ / |) | | | | | |
| | | | Total B. | (Ordina | ary shares) | N/A | |
| | | | | (Preferen | ce shares) | N/A | |
| | | | | (0 | ther class) | N/A | - |
| 1 | | | | | | | |

| Amount at Currency of amount 1. N/A Amount at Currency of amount 1. N/A Converted close of amount 1. N/A Amount at close of amount 1. N/A Thereto amount 1. N/A Stock code (if listed) | Convertibles (i.e. Convertible | into Shares of th | ne Issuer whic | h are to be Liste | ed) | | |
|--|---|-------------------|-----------------------|-------------------|--------------|---|---|
| Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyy) (I / /) Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) (I / /) Stock code (if listed) Class of shares issuable (Note 1) Stock code (if listed) Class of shares issuable (Note 1) Stock code (if listed) Class of shares issuable (Note 1) Stock code (if listed) Class of shares issuable (Note 1) Stock code (if listed) Class of shares issuable (Note 1) Stock code (if listed) Class of shares issuable (Note 1) Stock code (if listed) Class of shares issuable (Note 1) Stock code (if listed) | | amount | close of preceding | during the | close of the | issuer issued during the month pursuant | issuer which may be issued pursuant thereto as at close of the |
| Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy)) 2. N/A | <u>1. N/A</u> | _ | | | | | |
| Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy)) 2. N/A | | _ | | | | | |
| Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy)) 2. N/A | | _ | | | | | |
| Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 3. N/A | Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy)) | (/ /) | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) 3. N/A | Class of shares issuable <i>(Note 1)</i> | | | | | | |
| Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 4. N/A | EGM approval date (if applicable) (dd/mm/yyyy) | (/ /) | | | | | |
| Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 4. N/A | | _ | | | | | |
| Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) (/ /) Total C. (Ordinary shares) N/A (Preference shares) N/A | Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) | | | | | | |
| (Preference shares) N/A | Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) | | | | | | |
| | | | | | | | - |
| | | | | | | | - |

| Any other Agreements or Arrangements to Issue Shares of the Issue Options (other than under Share Option Schemes) | er which are to be Liste | ed, including |
|---|---|--|
| | No. of new shares of issuer issued during the month pursuant thereto | No. of new shares of issuer which may be issued pursuant thereto as at close of |
| Full particulars including EGM approval date (dd/mm/yyyy), if applicable, and class of shares issuable: | | the month |
| 1. <u>N/A</u> | - | |
| | | |
| (/ /) shares (Note 1) | | |
| | | |
| 2. <u>N/A</u> | | |
| | | |
| shares (Note 1) | | |
| 3. N/A | | |
| | | |
| | | |
| shares (Note 1) | | |
| Total D. (Ordinary shares) | N/A | |
| (Preference shares) | N/A | |
| (Other class) | N/A | |

| 1. Rights issue At price : State currency Issue and allotment date : (d/imm/yyyy) (/ /) 1. Rights issue At price : State currency Issue and allotment date : (d/imm/yyyy) (/ /) 2. Open offer At price : State currency Class of shares issuable (Note 1) N/A N/A 2. Open offer At price : State currency Issue and allotment date : (d/imm/yyyy) (/ /) N/A N/A 2. Open offer At price : State currency Issue and allotment (/ /) (/ /) N/A N/A 3. Placing At price : State currency Issue and allotment (/ /) (/ /) N/A N/A 4. Bonus issue Class of shares issuable (Note 1) Issue and allotment (/ /) (/ /) N/A N/A 4. Bonus issue EGM approval date : (/ /)) Issue and allotment (/ /) (/ /) 4. Bonus issue Issue and allotment (/ /) (/ /)) N/A N/A | | <u>Type of Issue</u> | | <u>αιο σαριαι</u> | | No. of new shares of issuer issued during the month pursuant thereto | No. of new shares of issuer which may be issued pursuant thereto as at close of the month |
|--|----|----------------------|------------|-------------------|--------------------------------------|--|---|
| 1. Rights issue At price : State currency Issue and allotment (/ /) 2. Open offer At price : State currency Class of shares issuable (Note 1) N/A N/A 2. Open offer At price : State currency Issue and allotment date : (d/mm/yyyy) (/ /) N/A N/A 2. Open offer At price : State currency Issue and allotment date : (d/mm/yyyy) (/ /) N/A N/A 3. Placing At price : State currency Issue and allotment (d/mm/yyyy) (/ /) N/A N/A 4. Bonus issue Class of shares issuable (Note 1) Issue and allotment (d/mm/yyyy) (/ /) N/A N/A 4. Bonus issue EGM approval date: (/ /) Issue and allotment (d/mm/yyyy) (/ /) Issue and allotment (d/mm/yyyy) | | | | | Class of shares | | |
| 1. Hights issue At price : currency date : (dd/mm/yyyy) EGM approval date: (///) (dd/mm/yyyy) | | | | | | | |
| Image: Construction of the second | 1. | Rights issue | At price : | | |) | |
| 2. Open offer At price : State currency Issue and allotment (// /) date: (dd/mm/yyy) 2. Open offer At price : State currency Issue and allotment (/ /) date: (dd/mm/yyy) 2. Open offer At price : State currency Issue and allotment (/ /) (dd/mm/yyy) 3. Placing At price : State currency Issue and allotment (/ /) date : (dd/mm/yyyy) 3. Placing At price : State currency Issue and allotment (/ /) date : (dd/mm/yyyy) 4. Bonus issue Class of shares issuable (Note 1) N/A N/A 4. Bonus issue Issue and allotment (/ /) date : (dd/mm/yyyy) Issue and allotment (/ /) date : (dd/mm/yyyy) 4. Bonus issue Issue and allotment (/ /) date : (dd/mm/yyyy) EGM approval date: (/ /) (dd/mm/yyyy) | | | | | | | NI/A |
| 2. Open offer At price : State currency Issue and allotment (/ /) date : (dd/mm/yyyy) 2. Open offer At price : State currency Issue and allotment (/ /) date : (dd/mm/yyyy) EGM approval date: (/ /) (dd/mm/yyyy) N/A N/A 3. Placing At price : State currency Issue and allotment date : (dd/mm/yyyy) N/A 3. Placing At price : State currency Issue and allotment date : (dd/mm/yyyy) N/A N/A 4. Bonus issue Class of shares issuable (Note 1) N/A N/A N/A 4. Bonus issue Class of shares currency Issue and allotment date : (dd/mm/yyyy) (/ /) date : (dd/mm/yyyy) EGM approval date: Class of shares currency Issue and allotment date : (dd/mm/yyyy) N/A 4. Bonus issue EGM approval date: (dd/mm/yyyy) Issue and allotment (/ /) date : (dd/mm/yyyy) Issue and allotment (/ /) | | | | | | N/A | <u> </u> |
| 2. Open offer At price : State currency Issue and allotment (// /) Gate : (dd/mm/yyyy) EGM approval date: (// /) Begin approval date: (// /) N/A N/A N/A N/A N/A N/A N/A Second approval date: Class of shares issuable (Note 1) Issue and allotment date : (dd/mm/yyyy) (//) EGM approval date: (//) (dd/mm/yyyy) N/A N/A N/A N/A N/A Issue and allotment (// /) N/A Issue and allotment (//) Issue and allotment (//) Issue and allotment (//) (//) Issue and allotment (//) Issue and allotment (//) Issue and allotment (//) Issue and allotment (//) Issue and allotment (//) Issue and allotment (//) Issue and allotment (//) Issue and allotment (//) Issue and allotment (//) I//) Issue and allotment (//) I//) <tr< td=""><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr<> | | | | | | | |
| Image: State (dd/mm/yyyy) N/A N/A 3. Placing At price : State currency Class of shares | 2. | Open offer | At price : | | Issue and allotment (/ /) | | |
| N/A N/A Issue and allotment (1/1) Issue and allotment (2/1) EGM approval date: <td< td=""><td></td><td></td><td></td><td></td><td></td><td></td><td></td></td<> | | | | | | | |
| 3. Placing At price : State currency Issue and allotment date : (dd/mm/yyyy) (/ /) 3. Placing At price : State currency Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date: (/ /) N/A N/A 4. Bonus issue Class of shares Issue and allotment (/ /) | | | | | (| <u> </u> | <u>N/A</u> |
| 3. Placing At price : currency date : (dd/mm/yyyy) EGM approval date: (/ /) (dd/mm/yyyy) N/A N/A 4. Bonus issue Issue and allotment (/ /) date : (dd/mm/yyyy) EGM approval date: EGM approval date: (/ /) Glass of shares | | | | | | | |
| Class of shares | 3. | Placing | At price : | | loodo and allounone |) | |
| N/A N/A N/A N/A Class of shares | | | | | |) | |
| 4. Bonus issue lissuable (Note 1) 4. Bonus issue EGM approval date: (/ /) (dd/mm/yyyy) | | | | | (33,111,1,9999) | N/A | <u>N/A</u> |
| 4. Bonus issue date : (dd/mm/yyyy) EGM approval date: (/ /) (dd/mm/yyyy) | | | | | | | |
| (dd/mm/yyyy) | 4. | Bonus issue | | | |) | |
| N/AN/A | | | | | |) | |
| | | | | | (/·································· | N/A | N/A |

| | Type of Issue | | | | | | | No. of new shares of issuer issued during the month pursuant thereto | No. of new shares of issuer which may be issued pursuant thereto as at close of the month |
|----|----------------------|------------|-----------------------|--|-----|-----|---|--|---|
| | | | | Class of shares | | | | | |
| | | | | Class of shares issuable <i>(Note 1)</i> | | | | | |
| 5. | Scrip dividend | At price : | State currency ——— | lssue and allotment date : (dd/mm/yyyy) | (/ | / / |) | | |
| | | | | EGM approval date: (dd/mm/yyyy) | (/ | / / |) | N/A | <u>N/A</u> |
| | | | | Class of shares | | | | | |
| | Demuscher | | | repurchased (Note 1) | (| / / | ١ | | |
| 6. | Repurchase of shares | | | Cancellation date : (dd/mm/yyyy) | | | | | |
| | | | | EGM approval date: (dd/mm/yyyy) | () | / / |) | | |
| | | | | | | | | <u> </u> | <u>N/A</u> |
| | | | | Class of shares redeemed (Note 1) | | | | | |
| 7. | Redemption of shares | | | Redemption date : (dd/mm/yyyy) | (/ | / / |) | | |
| | | | | EGM approval date: (dd/mm/yyyy) | (/ | / / |) | | |
| | | | | | | | | <u> </u> | <u>N/A</u> |
| | | | | Class of shares issuable (<i>Note 1</i>) | | | | | |
| 8. | Consideration issue | At price : | State currency ——— | lssue and allotment date : (dd/mm/yyyy) | (/ | / / |) | | |
| | | | | EGM approval date: (dd/mm/yyyy) | (/ | / / |) | | |
| | | | | | | | | N/A | N/A |
| | | | | | | | | | |

| | Type of Issue | | | | | | | No. of new shares of issuer issued during the month pursuant thereto | No. of new shares of issuer which may be issued pursuant thereto as at close of the month |
|-----|------------------------|------------|-------------------|--|------|-----------|-----------------------------------|--|---|
| | | | | Class of shares issuable <i>(Note 1)</i> | | | | | |
| 9. | Capital reorganisation | | | lssue and allotment date : (dd/mm/yyyy) | (| |) | | |
| | | | | EGM approval date: (dd/mm/yyyy) | (| |) | N/A | N/A |
| | | | | | | | | | |
| | | | | Class of shares issuable <i>(Note 1)</i> | | | | | |
| 10. | Other | At price : | State currency | lssue and allotment date : (dd/mm/yyyy) | (| |) | | |
| | | | | EGM approval date: (dd/mm/yyyy) | (| |) | N/A | N/A |
| | | | | Total (Prefe | eren | s ce s | dinary hares hares class |) <u>N/A</u>) <u>N/A</u> | - - |

| Total increase / (decrease) in ordinary shares during the month (i.e. Total of A to E): (1) | 0 |
|---|--------------|
| (2) | 0 |
| (3) | 0 |
| Total increase / (decrease) in preference shares during the month (i.e. Total of A to E): | N/A |
| Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to E): | N/A |
| (These figures should be the same as the relevant figures under II above ("Movements in Capital").) | Issued Share |

IV. Confirmations

We hereby confirm to the best knowledge, information and belief that, in relation to each of the securities issued by the issuer during the month as set out in Part III which has not been previously disclosed in a return published under rule 13.25A, it has been duly authorized by the board of directors of the listed issuer and, insofar as applicable:

(Note 2)

(i) all money due to the listed issuer in respect of the issue of securities has been received by it;

- (ii) all pre-conditions for listing imposed by the Rules Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited under "Qualifications of listing" have been fulfilled;
- (iii) all (if any) conditions contained in the formal letter granting listing of and permission to deal in the securities have been fulfilled;
- (iv) all the securities of each class are in all respects identical (Note 3);
- (v) all documents required by the Companies (Winding Up and Miscellaneous Provisions) Ordinance to be filed with the Registrar of Companies have been duly filed and that compliance has been made with other legal requirements;
- (vi) all the definitive documents of title have been delivered/are ready to be delivered/are being prepared and will be delivered in accordance with the terms of issue;
- (vii) completion has taken place of the purchase by the issuer of all property shown in the listing document to have been purchased or agreed to be purchased by it and the purchase consideration for all such property has been duly satisfied; and
- (viii) the trust deed/deed poll relating to the debenture, loan stock, notes or bonds has been completed and executed, and particulars thereof, if so required by law, have been filed with the Registrar of Companies.

Remarks (if any):

 As the Company is incorporated in the People's Republic of China, the concept of "authorised share capital" is not applicable. The information shown as "authorised share capital" in section I above refers to the "registered share capital" of the Company.

Submitted by: Lam Yuen Ling Eva

 Title:
 Joint Company Secretary

 (Director, Secretary or other duly authorised officer)

Notes :

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. Items (i) to (viii) are suggested forms of confirmation which may be amended to meet individual cases. Where the issuer has already made the relevant confirmations in a return published under rule 13.25A in relation to the securities issued, no further confirmation is required to be made in this return.
- 3. *"Identical" means in this context:*
 - the securities are of the same nominal value with the same amount called up or paid up;
 - they are entitled to dividend/interest at the same rate and for the same period, so that at the next ensuing distribution, the dividend/interest payable per unit will amount to exactly the same sum (gross and net); and
 - they carry the same rights as to unrestricted transfer, attendance and voting at meetings and rank pari passu in all other respects.
- 4. If there is insufficient space, please append the prescribed continuation sheet.